Appropriate Filtering for Education settings



June 2023

Filtering Provider Checklist Responses

Schools (and registered childcare providers) in England and Wales are required "to ensure children are safe from terrorist and extremist material when accessing the internet in school, including by establishing appropriate levels of filtering". Furthermore, it expects that they "assess the risk of [their] children being drawn into terrorism, including support for extremist ideas that are part of terrorist ideology". There are a number of self review systems (eg www.360safe.org.uk) that will support a school in assessing their wider online safety policy and practice.

The Department for Education's statutory guidance 'Keeping Children Safe in Education' obliges schools and colleges in England to "ensure appropriate filters and appropriate monitoring systems are in place and regularly review their effectiveness" and they "should be doing all that they reasonably can to limit children's exposure to [Content, Contact, Conduct, Contract] risks from the school's or college's IT system" however, schools will need to "be careful that "over blocking" does not lead to unreasonable restrictions as to what children can be taught with regards to online teaching and safeguarding."

By completing all fields and returning to UK Safer Internet Centre (<u>enquiries@saferinternet.org.uk</u>), the aim of this document is to help filtering providers to illustrate to education settings (including Early years, schools and FE) how their particular technology system(s) meets the national defined 'appropriate filtering standards'. Fully completed forms will be hosted on the UK Safer Internet Centre website alongside the definitions.

Company / Organisation	Schools Broadband
Address	Units 2-4, Backstone Business Park, Dansk Way, Ilkley, West
	Yorkshire, LS29 8JZ
Contact details	Telephone: 01133 222333 Email: info@schoolsbroadband.co.uk
Filtering System	Netsweeper / FortiGate
Date of assessment	June 2023

It is important to recognise that no filtering systems can be 100% effective and need to be supported with good teaching and learning practice and effective supervision.

System Rating response

Where a supplier is able to confirm that their service fully meets the issue	
identified in a specific checklist the appropriate self-certification colour for that	
question is GREEN.	
Where a supplier is not able to confirm that their service fully meets the issue	
identified in a specific checklist question the appropriate self-certification colour	
for that question is AMBER.	

Illegal Online Content

Filtering providers should ensure that access to illegal content is blocked, specifically that the filtering providers:

Aspect	Rating	Explanation
Are IWF members		Netsweeper, Fortinet and Schools Broadband are long standing IWF members, supporting the IWF for over twelve (12) years, with Council representation.
 and block access to illegal Child Abuse Images (by actively implementing the IWF URL list) 		The Netsweeper and Fortinet products integrate with the IWF CAIC illegal content list. The IWF functionality is not exposed in the graphical user interface and cannot be disabled.
 Integrate the 'the police assessed list of unlawful terrorist content, produced on behalf of the Home Office' 		Netsweeper uses the UK Home Office's terrorism blocklist to block terrorist content as per Government guidelines. Netsweeper integrates the list into its worldwide 500 million user cloud delivery platform, categorising new content and offering unmatched global protection against terrorist and extremist content.
 Confirm that filters for illegal content cannot be disabled by the school 		All categories associated with illegal content are locked at the system level and all school administrators cannot enable these categories.

Inappropriate Online Content

Recognising that no filter can guarantee to be 100% effective, providers should both confirm, and describe how, their system manages the following content:

Content	Explanatory notes – Content that:	Rating	Explanation
Discrimination	Promotes the unjust or prejudicial treatment of people on the grounds of race, religion, age, or sex.		Netsweeper has a category called 'Hate Speech'. These sites portray views that are written, verbal, or illustrated, and are intentionally offensive to the public. The intent of these sites is to degrade, intimidate, or incite violent or prejudicial actions against individuals based on race,

		ethnic affiliation, nationality, gender, sexual orientation, religion, disability, or profession. Advocacy or instructional sites that promote the harming of individuals or groups and encourage or promote peer abuse, videos of physical assaults, written harassment and threats are also included.
Drugs / Substance abuse	displays or promotes the illegal use of drugs or substances	 Netsweeper has a specific category called 'substance abuse'. These sites provide information about or promote the use of prohibited, illegal, controlled, or regulated substances for recreational rather than medicinal use. It can include sites that sell, encourage, or advocate the use of any substance that produces hallucinations, as well as the cultivation, manufacture, and distribution of any intoxicant and related paraphernalia. Informational sites that are clearly intended to provide descriptions of drugs and substances, their negative effects, and addiction potential are not included.
Extremism	promotes terrorism and terrorist ideologies, violence or intolerance	Categories within Netsweeper that block this content include 'Extreme', 'Hate Speech', 'Criminal Skills', 'Terrorism' and 'Weapons'. Definitions can be found below: Extreme - This includes sites that are considered far from normal and are categorised for their degree of intensity. The content features or promotes intentional, direct, and deliberate violence and destruction or the alteration of the human body and other living creatures. These sites may depict or promote torture, self-inflicted

harm, mutilation, or other dangerous activities. Images and information that advocate and glorify eating disorders, suicide, death, gore, injuries, or sites that feature grotesque or frightening descriptions are also included.

Hate Speech - These sites portray views that are written, verbal, or illustrated, and are intentionally offensive to the public. The intent of these sites is to degrade, intimidate, or incite violent or prejudicial actions against individuals based on race, ethnic affiliation, nationality, gender, sexual orientation, religion, disability, or profession. Advocacy or instructional sites that promote the harming of individuals or groups and encourage or promote peer abuse, videos of physical assaults, written harassment and threats are also included.

Weapons - This includes sites that provide information related to the promotion, support, sale, or discussion of weapons and any related device used in combat that can injure or kill, such as guns, knives, or swords. Information on how to build weapons or bombs will also be included in 'Criminal Skills'.

Terrorism - This includes content that promotes the illegal use, action or process of violence against civilians or a spectrum of society for the purpose of societal and/or political change.

Criminal Skills - This includes sites with instructions or methods that promote, encourage, or provide skills considered to be illegal, criminal, violent or harmful to the

		public, and are forbidden by law. This can include questionable material and sites that promote nonviolent, unethical, or dishonest behaviour such as academic cheating, or software hacking/key breaking. This does not necessarily reflect the laws of any particular region or country.
Gambling	Enables gambling	 Netsweeper has a category called 'Gambling'. This includes sites that encourage or provide information on the wagering or risking of money or any valuables on a game, contest, or other event in which the outcome is partially or completely dependent upon chance or on one's abilities. Sites that promote or facilitate gambling information, as well those that are purely factual and strategic sites that promote cheating are also included. This excludes sites that are clearly support sites for gambling addiction as well as travel destination sites that do not enable gambling.
Malware / Hacking	promotes the compromising of systems including anonymous browsing and other filter bypass tools as well as sites hosting malicious content	Netsweeper has categories named 'Malware', 'infected hosts', 'phishing', 'viruses' and 'adware'. These categories block websites that are associated with hacking and malware. These are sites containing scripts, or code, that may be run in a hostile or intrusive manner to a system.
Pornography	displays sexual acts or explicit images	Netsweeper has a category called 'pornography'. This contains URLs that reference, discuss, or display pornographic

		images, videos, or other sexually oriented material that is created for the purpose of arousing sexual interest. Soft and hard-core pornography, sadomasochism, bestiality, fetishes, erotic stories, adult magazines, sex toys, or any other sexual related products are included.
Piracy and copyright theft	includes illegal provision of copyrighted material	Netsweeper has three (3) distinct categories called 'Criminal Skills' 'Peer2Peer' and 'PIPCU'.
		Criminal Skills - includes sites with instructions or methods that promote, encourage, or provide skills considered to be illegal, criminal, violent or harmful to the public, and are forbidden by law. This can include questionable material and sites that promote nonviolent, unethical, or dishonest behaviour such as academic cheating, copyright infringement or software hacking/key breaking. This category does not necessarily reflect the laws of any region or country.
		Peer-to-Peer - includes sites that distribute software and facilitate the direct exchange of files between users. Software that enables file searching, sharing, and transferring across a network independent of a central server as well as web-based site. This includes sites such as Torrent sites.
		PIPCU - Sites in this category have been identified by the Policy Intellectual Property Crime Unit as containing potentially copyright infringing websites. This list is not managed by Netsweeper. For more information, see the <u>PIPCU website</u>

Self Harm	promotes or displays deliberate self harm (including suicide and eating disorders)	Netsweeper has a dedicated 'self- harm' category. This category contains websites that depict and feature intentional and direct self-inflicted harm. Sites that advocate and glorify eating disorders, self-mutilation, and suicide are included in this category.
Violence	Displays or promotes the use of physical force intended to hurt or kill	Violence sites are included in the Netsweeper 'extreme' category. This includes sites that are considered far from normal and are categorised for their degree of intensity. The content features or promotes intentional, direct, and deliberate violence and destruction or the alteration of the human body and other living creatures. These sites may depict or promote torture, self-inflicted harm, mutilation, or other dangerous activities. Images and information that advocate suicide, death, gore, injuries, or sites that feature grotesque or frightening descriptions are also included.

This list should not be considered an exhaustive list. Please outline how the system manages this content and many other aspects:

Netsweeper uses AI based technology for near real-time classification of dynamic website content over 90+ categories, including 47 different languages. This allows the platform to be flexible in customers selecting only the categories that need to be denied for each policy. Policies can be configured on a per user group basis, therefore the correct category restrictions can be enforced for the right user types.

Netsweeper also allows for local overrides of URL categorisation which can be applied to multiple policies. Therefore if a school needed a URL allowing for all users in a school, this is easily done with one (1) entry. Users with administrative rights are able to modify their policy (categories and lists) according to their permissions.

Schools Broadband applies a suite of policy templates of typical education sites and categories to ensure that schools do not experience over-blocking during implementation.

Regarding the duration and extent of logfile (Internet history) data retention, providers should outline their retention policy, specifically including the extent to the identification of individuals and the duration to which all data is retained.

We retain log-file data for two years as per our GDPR policy.

Providers should be clear how their system does not over block access so it does not lead to unreasonable restrictions

Dynamic content analysis as well as easy to manage unblocking and recategorisation tools ensure high levels of accuracy and ensure over-blocking is not a problem.

Schools Broadband also frequently reviews high-hitting blocked categories, URLs and keywords across the Schools Broadband estate to ensure educational content is allowed and over-blocking does not occur.

Filtering System Features

How does the filtering system meet the following principles:

Principle	Rating	Explanation
 Context appropriate differentiated filtering, based on age, vulnerability and risk of harm – also includes the ability to vary filtering strength appropriate for staff 		Netsweeper integrates with existing directory systems such as Microsoft AD, LDAP, Apple LDAP, OpenLDAP, MS Azure AD and Google Workspace, alongside Radius Accounting to assign users based on their group or attribute to the correct filtering policy. By grouping users into differentiated groups, users will receive appropriate filtering to the group they are assigned
 Circumvention – the extent and ability to identify and manage technologies and techniques used to circumvent the system, specifically VPN, proxy services and DNS over HTTPS. 		We combine both FortiGate and Netsweeper to help detect, identify and manage access to circumvention type technologies. This traffic can be identified alongside standard web traffic and the end user can choose to allow or block this type of circumvention traffic with standard firewall policies, layer-7 application control, or domain/IP/hostname blocking per protocol type.
 Control – has the ability and ease of use that allows schools to control the filter themselves to permit or deny access to specific content. Any changes to the filter system are logged enabling an audit trail that ensure transparency and that 		Schools Broadband configure different administration roles to allow schools to manage and maintain the filtering and reporting themselves.

individuals are not able to make unilateral changes	All changes made by either the school or Schools Broadband are fully logged and auditable by all parties. Roles include, but not limited to:- - Full Management
	 Policy Management List Management Reporting only
 Contextual Content Filters – in addition to URL or IP based filtering, the extent to which (http and https) content is analysed as it is streamed to the user and blocked, this would include AI generated content. For example, being able to contextually analyse text on a page and dynamically filter. 	Netsweeper provides on the fly categorisation based on the content and context of text and links that appear on the page.
 Filtering Policy – the filtering provider publishes a rationale that details their approach to filtering with classification and categorisation as well as over blocking 	 The Netsweeper collective community together with AI technology and human oversight defines URL classification. Netsweeper publishes classification of filtering and categories on the Netsweeper website as well as a view in real time of new content categorised - https://www.netsweeper.com/live-stats/ Netsweeper uses AI technology to categorise every URL. Netsweeper's categorisation is real-time and combines a hierarchy of data (URL to category), with their Category Naming Service (CNS) as a global master database. If any customer anywhere in the world accesses a URL, that URL is submitted to the local policy server. If that policy server cannot find a category mapping it is immediately returned to the local system and cached there for future use. A policy decision is then made by the policy server.

	If neither the local system, nor the CNS has a category match, the URL is submitted to the Netsweeper "Artificial Intelligence" system that will interrogate the content at and around that URL, assess the content, detect if it references or contains malware, and assigns one or more categories to the URL into the CNS. This is then reported back to the local system, a policy decision is then made by the policy server.
	The CNS allows Netsweeper to adapt to trending URLs immediately due to its world-wide scope. If the local system hasn't seen a particular URL yet, then CNS probably has. If the URL has been assigned one or more categories, local systems see immediate responses.
	If the URL is truly "new" then the AI will typically process the content within a matter of seconds. The local policy servers can be configured with techniques to minimise the "new URL" wait.
 Group / Multi-site Management – the ability for deployment of central policy and central oversight or dashboard 	We provide a single pane of glass service where policies can be shared across multiple schools. We also provide a central dashboard
	and interface for reporting against multi-site deployments and hierarchical views for Multi Academy Trusts.
 Identification - the filtering system should have the ability to identify users 	Netsweeper and FortiGate integrates with existing directory systems such as Microsoft AD, LDAP, Apple LDAP, OpenLDAP, MS Azure AD and Google Workspace.
	For guest/wireless networks schools can utilise Radius to identify when a user has authenticated.
	Users can be passively identified by agents covering various platforms such as OSX, iOS, Google & Windows, or be identified through a captive

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	portal for devices that are unable to have an agent installed.
Mobile and App content – mobile and	Netsweeper and FortiGate are
app content is often delivered in entirely	deployed as a dual network service.
different mechanisms from that delivered	With this combination, Schools
through a traditional web browser. To	Broadband can detect and manage
what extent does the filter system block	application protocols using DPI (Deep
inappropriate content via mobile and app	Packet Inspection).
technologies (beyond typical web	i deket inspection).
browser delivered content). Providers	Standard web content delivered to
should be clear about the capacity of	applications can be filtered in the
their filtering system to manage content	same manner as a web browser.
on mobile and web apps	same manner as a web browser.
	For full Layer 7 application inspection,
	to facilitate full Mobile and app
	content inspection, Schools
	Broadband provide this service
	through the FortiGate platform.
Multiple language support – the ability	Netsweeper provides real-time
for the system to manage relevant	categorisation for 47 languages.
languages	
Network level - filtering should be applied	Netsweeper is deployed as a network
at 'network level' ie, not reliant on any	service.
software on user devices whilst at school	As the deployment is an inline
(recognising that device	network filter, we filter on all traffic
configuration/software may be required	transparently at the network level
for filtering beyond the school	whilst a device is at school.
infrastructure)	
Remote devices – with many children and	The Netsweeper solution can
staff working remotely, the ability for	facilitate the identification and
school owned devices to receive the	filtering of all remote devices off the
same or equivalent filtering to that	school network, using the same
provided in school	filtering policies that are provided in
	school.
	This comes in the form of a client
	application that can be installed on
	these remote devices. The software
	detects when working remotely and
	automatically start filtering as if they
	the device was still inside the school
	without any user intervention.
 Reporting mechanism – the ability to 	Designated contacts at each school
report inappropriate content for access	have the ability to report
or blocking	inappropriate content via our
	customer portal, e-mail or via phone.
	Each school also has the ability to
	immediately block or allow access to
	any content if the user has correct
	management privileges.

 Reports – the system offers clear 	Schools Broadband has multiple
historical information on the websites	reporting techniques outside of the
users have accessed or attempted to	Netsweeper reporting engine.
access	
	Dashboards – These can be
	configured to show dynamically
	changing information for
	inappropriate content access
	requests or blocked requests. These
	can be customised per report admin
	so that they can see relevant
	information.
	Scheduled Reports – These are
	reports that can trigger e-mails on a
	set schedule.
	Instant Alerting – Using our
	dedicated Incident Management
	Platform, report admins can configure
	specific keyword or URL lists to get
	instant notifications via Teams, E-Mail
	or Slack. Users can define their own
	lists, use our pre-defined lists
	(created in conjunction with 3 rd party
	agencies), or use a hybrid of the two.
• Safe Search – the ability to enforce 'safe	Safe Search can be enforced on a per
search' when using search engines	user group basis.

Filtering systems are only ever a tool in helping to safeguard children when online and schools have an obligation to "consider how children may be taught about safeguarding, including online, through teaching and learning opportunities, as part of providing a broad and balanced curriculum".¹

Please note below opportunities to support schools (and other settings) in this regard:

Schools Broadband can provide custom messaging to students when they attempt to access specific denied content. For example: Schools Broadband can provide an information page on hate speech, drug abuse, viruses, etc rather than just simply denying them. This allows schools to message specific topics and provide students with more information on how and where to obtain help.

Schools Broadband is also looking to provide on-line self-paced training courses that could be utilised by school users. This would include not only safeguarding, but also cyber security in general to help support the broader obligations within schools.

PROVIDER SELF-CERTIFICATION DECLARATION

In order that schools can be confident regarding the accuracy of the self-certification statements, the supplier confirms:

• that their self-certification responses have been fully and accurately completed by a person or persons who are competent in the relevant fields

¹ <u>https://www.gov.uk/government/publications/keeping-children-safe-in-education--2</u>

- that they will update their self-certification responses promptly when changes to the service or its terms and conditions would result in their existing compliance statement no longer being accurate or complete
- that they will provide any additional information or clarification sought as part of the selfcertification process
- that if at any time, the UK Safer Internet Centre is of the view that any element or elements of a provider's self-certification responses require independent verification, they will agree to that independent verification, supply all necessary clarification requested, meet the associated verification costs, or withdraw their self-certification submission.

Name	Luke Watson
Position	Product Manager
Date	21-June-2023
Signature	
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